

UNIFE commitment for the supply chain

Introduction

The UNIFE members consider IRIS as a fundamental system for the improvement of the Quality in the whole value chain. Therefore the members of the UNIFE Presiding Board agreed on a common approach for the targeting of suppliers for IRIS certification, which has been developed by industry representatives. This approach focuses on third party suppliers and considers:

- Criteria for targeting suppliers, which need to be IRIS certified,
- Consequences in supplier selection process and contractual implications,
- Deployment of the agreed principles.

Purpose

The aim of this document is to define clear and common criteria for the IRIS Policy for the stakeholders in the definition of the IRIS targeted suppliers, including also the cascading to the further supply chain level (Tier 1, Tier 2, etc.).

Each customer (System integrator or supplier) has to manage directly its next level in the supply chain, e.g. System Integrator manages its Tier 1 suppliers; Tier 1 supplier manages its Tier 2 suppliers, etc.

The guiding principles described in this document; are to be implemented in the respective organizations according to the organization's own specific management system requirements.

Criteria and flowchart for definition of IRIS targeted suppliers

The targeted suppliers for IRIS Certification are defined by taking into account the following criteria (without priorities):

- IRIS product scopes
- Strategic relevance / commodity strategy
- Purchase spent volume

In addition the following criteria may be applied:

- Product criticality
- Design activity
- Turnover in the rail sector
- Supplier performance

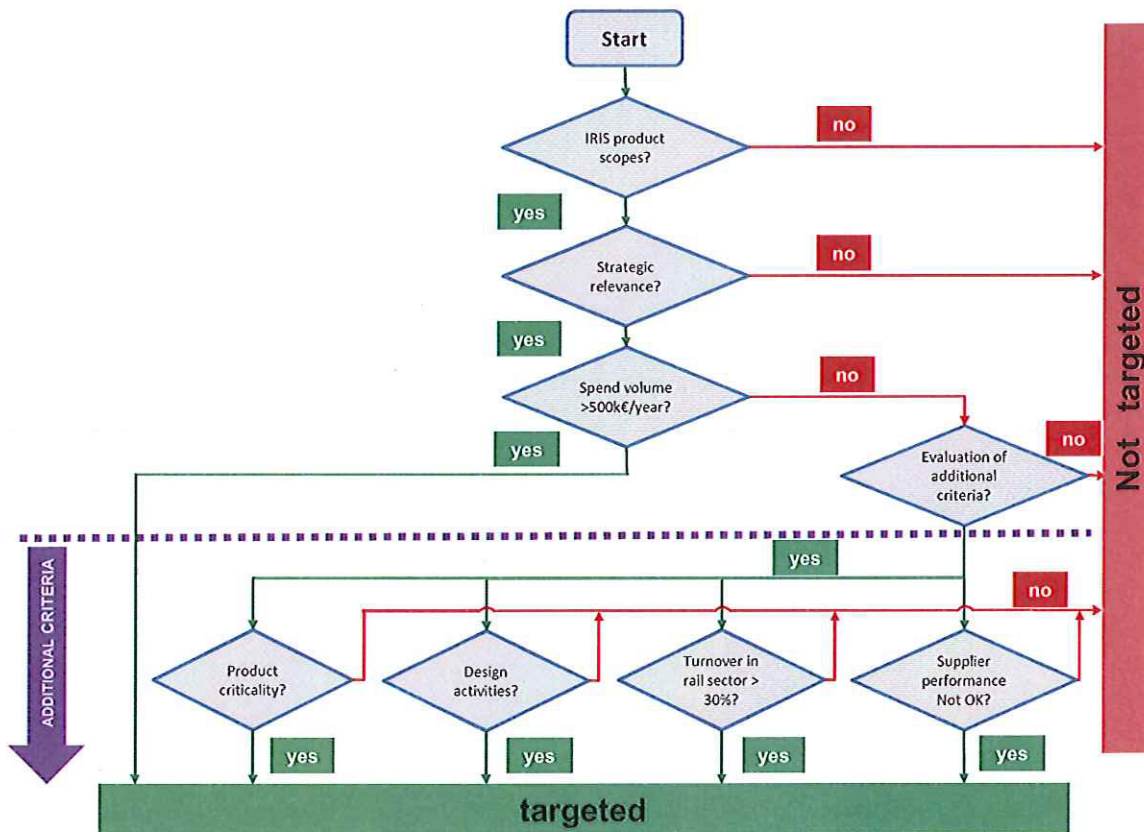


Fig. 1: Flowchart for definition of IRIS targeted suppliers

Consequences for IRIS targeted suppliers by not following the request

In cases where a supplier is identified as an IRIS targeted supplier and does not comply with the requirements, one of the following consequences apply:

1. The supplier will not be considered in the tendering process, unless they commit to be IRIS certified,
2. An audit based on the requirements of IRIS is conducted at suppliers' premises and at supplier cost. A successfully passed audit is a prerequisite for qualification to offer. Furthermore a negative effect will be applied on the supplier offer/selection evaluation.

Each company has to decide the application of the consequences in its supplier base by ensuring the proper IRIS implementation and the fulfilment of the agreed IRIS objectives.

Either a non-IRIS certified supplier confirms to achieve the certification, ideally within 18 months by an agreed certification plan with a contracted certification body or audit results have to confirm that supplier's organization ensures the required quality of future deliveries.

Deployment

The principles defined in this document are to be implemented in the industry organisations and be widely communicated:

- Internally: at all levels of quality and purchasing organisation
- Externally: to suppliers, partners and customers.

Furthermore, the principles and their deployment within the associated members will be monitored by the UNIFE Presiding Board and will be reviewed after 12 months by the Working Group.

Commitment

The below undersigning members of the UNIFE Presiding Board herewith declare officially and bindingly the respect and consideration of the above mentioned criteria and application of the defined rules.

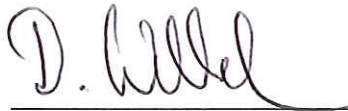
All other UNIFE members are expected to align their processes according to the above mentioned criteria and to formally apply this commitment.

Brussels, 12th June, 2013

Signed:



Lutz Bertling
Bombardier Transportation



Dieter Wilhelm
Knorr-Bremse



John Moore
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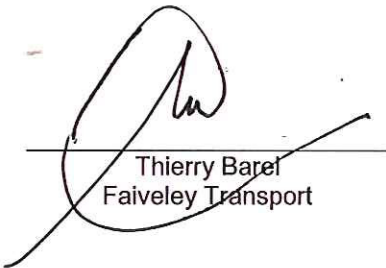
Jean-Pierre Forestier
Thales



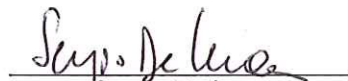
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